ASSURING TRUST IN CERTIFICATION

For RSPO RT 10, 2012

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Today

✓ About ASI, our systems and tools
✓ RSPO Accreditation process
Accreditation Services International

• Based in Bonn/Germany; founded in 2006, before - FSC Accreditation Business Unit
• Independent third-party assurance provider
• Specialist accreditation service provider
• Assessors with industry specific background (> 20 auditors globally)
• Assessment of applicant and accredited CABs
• Independent decision-making
• Consistent implementation worldwide

Structure

ASI Accreditation Committee
ASI Appeals Panel

Independent bodies

Managing Director
Operations Director
Business Administration Manager
Compliance Manager

ASI Board of Directors

Shareholders
Forest Stewardship Council (FSC)

Supervisory body

Accreditation Program Managers

Clients
FSC
MSC
ASC
RSPO

ASI lead assessors

APO, FO, AA

ASl Accreditation Supervisory body
ASI Governance

Board of Directors

The Board of Directors provides an overall oversight of ASI’s operations to strengthen the organization’s performance and credibility.

Current Members

Accreditation Committee

Office Assessment

Office Affiliate

Desk Review

Global Operations

- Example of ASI assessments for one program
Quality Management System

Accreditation Management System (AMS)
When is it starting?

- Service agreement between RSPO and ASI is signed today
- Accreditation process is starting now

Transfer process & timelines

Transfer procedure on ASI website

- All applications will be directed to ASI and assessed by ASI following the ASI Accreditation Requirements, no approval
- All CABs has to go through the full ASI accreditation process, including office and witness assessments
- Once a CAB is accredited by ASI, the RSPO approval will simultaneously cease
- RSPO approval of existing CABs will expire on 31st December 2013; all CABs not accredited on 1st January 2014 will no longer be able to issue or re-issue certificates.
  RSPO will consider extensions on a case by case basis
Transfer process & timelines
For RSPO approved CABs

✓ All RSPO approved CABs has to submit their applications to ASI and be accepted as applicants, by 31 Jan 2013

✓ The CAB and ASI shall sign an Accreditation & License Agreement as soon as ASI accepts the CAB application.

✓ Application fee is to be paid

✓ Full ASI accreditation process implemented, however, previous ASI activities taken into account to adapt the process

✓ Only after accreditation decision, CAB is accredited, no guarantee

Assessments

✓ Assessments’ intensity is based on the size, complexity of CAB, as well as the risk factors

✓ The sampling rate is based on ASI Surveillance & Sampling Procedure, on ASI website

✓ Minimum – annual office assessment and a witness assessment for each of the technical accreditation scope

✓ Includes desk reviews, office assessments of head office and affiliates, witness assessments, and compliance assessments, all might be short notice
Assessment Scope

- Auditor's competence
- Adequacy and implementation of CAB procedures
- Reporting quality and adequacy of data
- Decision making
  - CH compliance with the relevant requirements

Accreditation Decisions

- ASI assessor makes accreditation recommendations (maintain, extend, suspend), after each assessment
- Program APM initiates accreditation, suspension and termination decision making process, recommending to MD and Accreditation Committee
- ASI Accreditation Committee supports recommendation
- ASI MD makes final decision
ASI Reports

✓ All made in the ASI Accreditation Management System

✓ Summary of findings – 24 hours after field assessment

✓ CAB comments in 5 working days

✓ Finalization in 30 days after the assessment

✓ All available in CAB Portal, follow up, comments and updates directly in the system

ASI NCs

✓ Includes evidence, nonconformity statement, status, deadline and requirement to the reference

✓ Follow up actions requires root cause analyse, corrective actions and preventive actions, to be described by CAB

✓ CAB shall demonstrate that all actions are effectively implemented, before ASI closes the NCs

✓ Timelines for Minor NC is 12 months, for Major - 3 months, may be shortened
Its not for free –
Budgeted time

✓ Includes real time spent for the assessment activity

✓ Includes preparation, stakeholder consultation, assessment
time, report writing and finalization, follow up on NCs, and
travel time

✓ Desk based activities charged at minimum half day, field
assessments charged minimum 1 day
  (See details in ASI Fee Schedule)

✓ Budgets sent before each assessment, it follows ASI
Budgeting Guide

Sample budgeted time, SCCS

✓ Preparation – 0.5 day

✓ Site assessment – 1 day

✓ Report writing and finalization – 1.5 day

✓ Travel – 1 to 2 days

✓ Follow up on NCs, as needed

✓ Total: 4 to 5 days
Communications

- Website with relevant information
- Regular updates
- Newsletter: information on current developments at ASI
- Twitter for assessments

http://www.accreditation-services.com/

Thank You!

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